

**PRE QUALIFYING REQUIREMENTS**

JOB	Construction of Road, Drain, Culverts and associated civil works for 1 x 50 MW of Solar PV Plant of NTPC at Mandsaur District, Madhya Pradesh
TENDER NO	HYD/PESD/PUR/ SOLAR-ROAD/NTPC SPV-MP/NIT/006

BIDDER SHALL SUBMIT BELOW PRE-QUALIFICATION REQUIREMENTS FORMAT, DULY FILLED-IN, SPECIFYING RESPECTIVE ANNEXURE NUMBER AGAINST EACH REQUIREMENT AND FURNISH RELEVANT DOCUMENT IN THE RESPECTIVE ANNEXURES IN THEIR OFFER.

SL No	PRE QUALIFICATION REQUIREMENTS	Bidders claim in respect of fulfilling the PQR Criteria	
		Name and Description of qualifying criteria	Page no of supporting document
A	Submission of Integrity Pact duly signed, if offer value is Rs 5 Cr [excluding taxes and duties] or more, if applicable (Note: To be submitted by Prime Bidder & Consortium/Technical Tie up partner jointly in case Consortium bidding is permitted, otherwise by the sole bidder)		Not Applicable
B	Assessment of capacity of Bidder to execute the work: Documentary evidence of similar projects executed by the Bidder, including certification and present contact details of client representative as indicated in the Cl.1.6 of GCC shall be submitted.		Not Applicable
C	<p><b><u>Technical:</u></b>  <b><u>Bidder who wish to participate should have:</u></b>  Experience of having Successfully “Executed” <b>Similar Works</b> during last seven years ending last day of month previous to the one in which applications are invited should be either of the following:</p> <p>C1 One job of value not less than <b>Rs 75 Lakhs</b></p> <p style="text-align: center;">OR</p> <p>C 2 Two jobs of value not less than <b>Rs 47 Lakhs</b></p> <p style="text-align: center;">OR</p> <p>C 3 Three jobs of value not less than <b>Rs 38 Lakhs</b></p>		

D	<b><u>Financial Criteria</u></b>		
1	<p><b>Turnover:</b></p> <p><i>Bidders must have achieved an average annual financial turn over(Audited) of Rs. 30.0 Lakhs or more during the last 3 Financial Years ( 2012-13, 2013-14 &amp; 2014-15), Bidder shall submit audited accounts (balance sheets and profit &amp; loss account) in support of this.</i></p> <p><i>In case audited financial statements have not been submitted for all the three years as indicated above, then the applicable audited statements submitted by the bidders against the requisite three years, will be averaged for three years i.e. total divided by three.</i></p>		
2	<p><b>Networth:</b></p> <p><i>Net worth of the Bidder based on the latest Audited Accounts as furnished for 'C1' above should be positive</i></p> <p><i>Net Worth = Paid up share capital + Reserves.</i></p> <p><i>(Net worth is required to be evaluated in case of companies)</i></p>		
3	<p><b>Profit:</b></p> <p><i>Bidder must have earned cash profit in any one of the three Financial Years as applicable in the last three years defined in D1 above based on latest Audited Accounts.</i></p> <p><i>Profit shall be NET profit (PAT +Non cash expenditure viz. depreciation) earned during any one of the three financial years as in 'D-1' above</i></p>		
E	<p><b>Approval of Customer</b></p> <p><i>Note: Names of bidders who stand qualified after compliance of criteria A to E shall be forwarded to customer for their approval. Price bid of only those bidders shall be opened who are approved by customer.</i></p>		<i>Not Applicable</i>

F	Consortium criteria ( if applicable)		Not Applicable
<p><b><u>Explanatory Notes for PQR 'C'</u></b></p> <ul style="list-style-type: none"> <li>• For PQR 'C' above the word 'Executed 'means the bidder should have achieved the Technical Criteria, specified in the PQR (as in 'C' above), even if the total contract has not been completed or closed. (The Bidder shall submit the work completion certificate issued by Customer / Contractor.)</li> <li>• For PQR 'C' value of work is to be updated as per the PVC formula of GCC with Indices for "All India Avg. Consumer Price Index for Industrial Workers" with base month as date of execution and indexed up to two months prior to the bid opening month. This condition will be applicable only for the completed jobs and not for the jobs in progress as on date of technical bid opening.</li> <li>• If the Qualifying work is executed in the last seven years period, as specified above, even if it has been started earlier, the same will also be considered meeting the qualifying requirements.</li> <li>• The word '<b>Similar Works</b>' means "Construction of Bituminous/Concrete Road works".</li> <li>• Relevant documents, meeting above requirements at C &amp; D, shall be submitted by bidders.</li> <li>• The bidder can be a company under Companies Act, 1956 or Partnership firm or Proprietor firm.</li> </ul>			

**CHECK LIST****NOTE: - Tenderers are required to fill in the following details and no column should be left blank**

1	Name and Address of the Tenderer		
2	Details about type of the Firm/Company		
3a	Details of Contact person for this Tender	Name : Mr/Ms Designation: Telephone No: Mobile No: Fax No:	
3b	Details of alternate Contact person for this Tender	Name : Mr/Ms Designation: Telephone No: Mobile No: Fax No	
4a	EMD DETAILS	DD No:                      Date : Bank :                      Amount: <u>Please tick ( v ) whichever applicable:-</u> ONE TIME EMD / ONLY FOR THIS TENDER	
4b	Tender Fee Details.	DD No:                      Date : Bank :                      Amount:	
			APPLICABILITY      BIDDER REPLY
5	Whether the format for compliance with <b>PRE QUALIFICATION REQUIREMENTS</b> (ANNEXURE-1) is understood and filled with proper supporting documents referenced in the specified format	Applicable	YES / NO
6	Whether Audited profit and Loss Account for the last three years submitted	Applicable	YES/NO
7	Whether Copy of PAN Card submitted	Applicable	YES/NO
8	Whether all pages of the Tender documents including annexures, appendices etc are read understood and signed	Applicable	YES/NO
9	Integrity Pact	If Applicable	YES/NO
10	Declaration by Authorised Signatory	Applicable	YES/NO
11	Whether No Deviation Certificate submitted	Applicable	YES/NO
12	Whether Declaration confirming knowledge about Site Conditions submitted	Applicable	YES/NO
13	Whether Declaration for relation in BHEL submitted	Applicable	YES/NO
14	Whether Non Disclosure Certificate submitted	Applicable	YES/NO
15	Whether Bank Account Details for E-Payment submitted	Applicable	YES/NO
16	Capacity Evaluation of Bidder for current Tender	Not Applicable	Not Applicable
17	Tie Ups/Consortium Agreement are submitted as per format	Not Applicable	Not Applicable
18	Whether Analysis of Unit rates submitted	Applicable	YES/NO
19	Whether Acceptance to RA submitted	Applicable	YES/NO
20	Whether Service Tax registration certificate submitted	Applicable	YES/NO

**NOTE: STRIKE OFF 'YES' OR 'NO', AS APPLICABLE. TENDER NOT ACCOMPANIED BY THE PRESCRIBED ABOVE APPLICABLE DOCUMENTS ARE LIABLE TO BE SUMMARILY REJECTED.**

**DATE:**

**AUTHORISED SIGNATORY**  
(With Name, Designation and Company seal)

**Modification / Deletions in Standard Clause of General Conditions of Contract (GCC) or  
Special Conditions of Contract (SCC)**

**GCC: General Conditions of Contract**

1. Clause no.1.7 (iii), 1.8, 1.15.3, 2.12 & 2.17 will not be operated and hence not used

**SCC: Special Conditions of Contract**

1. Clause 2.4 of General Services to be rendered by the bidder is Not Applicable.
2. Clauses 4.1.2, 4.1.4, 4.1.5, 4.1.6, 4.1.7, 4.1.9, 4.1.10 of Consumables & Other Items are Not Applicable.
3. Clauses 6.1.1, 6.1.2,6.1.3,6.1.4,6.1.5,6.1.6,6.1.7,6.1.8,6.1.9,6.1.10,6.1.13,6.1.14,6.1.15 of Material Handling And Storage are Not Applicable.
4. Clauses 6.2.1.2, 6.2.1.3, 6.2.1.4, 6.2.2 of Preservation of Components are Not Applicable.
5. Clauses 8.1.4, 8.1.5, 8.1.8 of Inspection, Quality Assurance and Quality Control are Not Applicable.
6. Clauses 8.3.2, 8.3.3, 8.3.4 of Statutory Inspection of Work are Not Applicable.

**Certificate by Chartered Accountant on letter head**

This is to Certify that M/S ..... ,  
(hereinafter referred to as 'company') having its registered office at  
..... is registered under MSME Act 2006, ( Entrepreneur  
Memorandum No (Part-I) ..... dtd: ..... ,  
Category: ..... (Micro/Small)). (Copy enclosed).

Further verified from the Books of Accounts that the investment of the company as per the latest audited financial year ..... as per MSME Act 2006 is as follows:

**1. For Manufacturing Enterprises:** Investment in plant and machinery (i.e. original cost excluding land and building and the items specified by the Ministry of Small Scale Industries vide its notification No. S.O.1722(E) dated October 5, 2006: Rs ..... Lacs

**2. For Service Enterprises:** Investment in equipment (original cost excluding land and building and furniture, fittings and other items not directly related to the service rendered or as may be notified under the **MSME** Act, 2006:  
Rs ..... Lacs

**(Strike off which is not applicable)**

The above investment of Rs ..... Lacs is within permissible limit of Rs ..... Lacs for ..... Micro / Small **(Strike off which is not applicable)**

Category under MSME Act 2006.

Or

The company has been graduated from its original category (Micro/Small) (Strike off which is not applicable) and the date of graduation of such enterprise from its original category is ..... (dd/mm/yyyy) which is within the period of 3 years from the date of graduation of such enterprise from its original category as notified vide S.O. No. 3322(E) dated 01.11.2013 published in the gazette notification dated 04.11.2013 by Ministry of MSME.

Date:

(Signature)

Name -

Membership number -

Seal of Chartered Accountant

**GENERAL TERMS AND CONDITIONS OF REVERSE AUCTION (RA)**

Against this enquiry for the subject item/ system with detailed scope of supply as per enquiry specifications, BHEL may resort to "REVERSE AUCTION PROCEDURE" i.e., ON LINE

BIDDING (THROUGH A SERVICE PROVIDER). The philosophy followed for reverse auction shall be English Reverse (No ties).

1. For the proposed reverse auction, technically and commercially acceptable bidders only shall be eligible to participate.
2. Those bidders who have given their acceptance for Reverse Auction (quoted against this tender enquiry) will have to necessarily submit "online sealed bid" in the Reverse Auction. Non submission of "online sealed bid" by the bidder for any of the eligible items for which techno commercially qualified, will be considered as tampering of the tender process and will invite action by BHEL as per extant guidelines in vogue.
3. BHEL will engage the services of a service provider who will provide all necessary training and assistance before commencement of on line bidding on internet.
4. In case of reverse auction, BHEL will inform the bidders the details of Service Provider to enable them to contact & get trained.
5. Business rules like event date, time, bid decrement, extension etc. also will be communicated through service provider for compliance.
6. Bidders have to fax the Compliance form before start of Reverse auction. Without this, the bidder will not be eligible to participate in the event.
7. In line with the NIT terms, BHEL will provide the calculation sheet (e.g., EXCEL sheet) which will help to arrive at "Total Cost to BHEL" like Packing & forwarding charges, Taxes and Duties, Freight charges, Insurance, Service Tax for Services and loading factors (for non-compliance to BHEL standard Commercial terms & conditions) for each of the bidder to enable them to fill-in the price and keep it ready for keying in during the Auction.
8. Reverse auction will be conducted on scheduled date & time.
9. At the end of Reverse Auction event, the lowest bidder value will be known on auction portal.
10. The lowest bidder has to fax/e-mail the duly signed and filled-in prescribed format for price breakup including that of line items, if required, as provided on case-to-case basis to Service provider within two working days of Auction without fail.
11. In case BHEL decides not to go for Reverse Auction procedure for this tender enquiry, the Price bids and price impacts, if any, already submitted and available with BHEL shall be opened as per BHEL's standard practice.
12. Bidders shall be required to read the "Terms and Conditions" section of the auctions site of Service provider, using the Login IDs and passwords given to them by the service provider before reverse auction event. Bidders should acquaint themselves of the

„Business Rules of Reverse Auction“, which will be communicated before the Reverse Auction.

13. If the Bidder or any of his representatives are found to be involved in Price manipulation/ cartel formation of any kind, directly or indirectly by communicating with other bidders, action *as per extant BHEL guidelines*, shall be initiated by BHEL and the results of the RA scrapped/ aborted.
14. The Bidder shall not divulge either his Bids or any other exclusive details of BHEL to any other party.
15. In case BHEL decides to go for reverse auction, the H1(s) bidder (whose quote is highest in online sealed bid) may not be allowed to participate in further RA process.

**Authorization of representative who will participate in the on line Reverse Auction Process;**

<b>1.</b>	NAME & DESIGNATION OF OFFICIAL	
<b>2.</b>	POSTAL ADDRESS (COMPLETE)	
<b>3.</b>	TELEPHONE NOS (LAND LINE & MOBILE BOTH)	
<b>4.</b>	FAX NO	
<b>5.</b>	E-MAIL ADDRESS	
<b>6.</b>	NAME OF PLACE/ STATE/ COUNTRY, WHEREFROM S/HE WILL PARTICIPATE IN THE REVERSE AUCTION	

**ANNEXURE – VII**

**Feedback Form: From where did you get information reg. this tender**

<b>1.</b>	NEWSPAPER ADVERTISEMENT (NAME)	
<b>2.</b>	BHEL WEBISTE (TENDER NOTIFICATION)	
<b>3.</b>	CENTRAL PUBLIC PROCUREMENT PORTAL OF GOVERNMENT OF INDIA (CPP PORTAL)	
<b>4.</b>	EMAIL COMMUNICATION FROM BHEL	
<b>5.</b>	ANY OTHER SOURCE	